

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

526 Rec'd PCT/PTO 01 DEC 2000

INTERNATIONAL APPLICATION NO.  
PCT/AU99/00424

INTERNATIONAL FILING DATE  
2 JUNE 1999

PRIORITY DATE CLAIMED  
2 JUNE 1998

12/01/00  
TITLE OF INVENTION: PURIFICATION OF ANTIBODIES

APPLICANT(S) FOR DO/EO/US: DENNIS BRIAN RYLATT of 10 Stuart Street, Ryde, New South Wales, 2112, Australia  
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APPLICANT HEREWITH SUBMITS TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) THE FOLLOWING ITEMS AND OTHER INFORMATION:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
- a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☐ has been transmitted by the International Bureau.
- c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
- a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☐ have been transmitted by the International Bureau.
- c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
- d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). It is requested the examination be based on:
- a. ☐ the claims as originally filed.
- b. ☐ the claims as amended under PCT Article 19.
- c. ☐ the claims as annexed to the International Preliminary Examination Report.
- d. ☐ the claims as amended by the enclosed Preliminary Amendment.
11. ☒ Applicant(s), by its/their undersigned attorney, claims Small Entity Status under 37 C.F.R. §1.27 as ☐ an Independent Inventor, or ☒ a Small Business Concern, or ☐ a Non-Profit Organization.

**ITEMS 12. TO 21. BELOW CONCERN DOCUMENT(S) OR INFORMATION INCLUDED:**

12. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
13. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☐ A **FIRST** preliminary amendment.
- ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☒ A copy of the cover sheet of the PCT publication of the application (WO 99/62937).
18. ☒ A copy of the International Preliminary Examination Report.
19. ☐ An English translation of the International Preliminary Examination Report.
20. ☒ Formal drawings (3 sheet).
21. ☒ Other items or information: PCT Notification of the Recording of a Change dated April 4, 2000;  
Corrected International Preliminary Examination Report with amended pages submitted under Article 34 (pp 1-4, 12 and 14 - 16) and  
A pre-paid postage return postcard – please stamp and return.

22. [x] The following fees are submitted (enter lowest fee applicable):

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO \$860.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
\$670.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search  
fee paid to USPTO (37 CFR 1.445(a)(2)) \$710.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee  
(37 CFR 1.445(a)(2)) paid to USPTO \$1,000.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied  
provisions of PCT Article 33(2)-(4) \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT = \$1,000.00**

Surcharge of \$130.00 for furnishing the oath or declaration later than [&@] 20 or [&@] 30 months from the earliest claimed  
priority date (37 CFR 1.492(e)). \$ 0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	21 - 20 =	1	x \$ 18.00 =	\$ 18.00
Independent claims	2 - 3 =	0	x \$ 80.00 =	\$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable) =		10	+ \$270.00 =	\$ 270.00

**TOTAL OF ABOVE CALCULATIONS = \$1,288.00**

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement indicated below as a result of 9/00  
Rule Change (Note 37 CFR 1.9, 1.27, 1.28). \$ 644.00

[ x ] Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. §1.27 as [ ]  
an Independent Inventor, or [ x ] a Small Business Concern, or [ ] a Non-Profit Organization.

**SUBTOTAL = \$ 644.00**

Processing fee of \$130.00 for furnishing the English translation later than [&@] 20 or [&@] 30 months from the earliest  
claimed priority date (37 CFR 1.492(f)). + \$ 0

**TOTAL NATIONAL FEE = \$ 644.00**

**TOTAL FEES ENCLOSED = \$ 644.00**

Amount to be:  
Refunded \$  
Charged \$

- a. [x] A check in the amount of \$ 644.00 to cover the above fees is enclosed.
- b. [ ] Please charge my Deposit Account No. 50-1017 in the amount of \$ &@.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. [x] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1017.  
A duplicate copy of this sheet is enclosed.

**NOTE: WHERE AN APPROPRIATE TIME LIMIT UNDER 37 CFR 1.494 OR 1.495 HAS NOT BEEN MET, A PETITION TO REVIVE (37 CFR 1.137(a) OR (b)) MUST BE FILED AND GRANTED TO RESTORE THE APPLICATION TO PENDING STATUS.**

SEND ALL CORRESPONDENCE TO:

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